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SOFT-GLUON EFFECTS IN NONLEPTONIC DECAYS OF CHARMED MESONS

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ABSTRACT

Soft-gluon effects in nonleptonic decays of D and F mesons are studied nonperturbatively by use of a QCD multipole expansion. For reasonable values of D-meson bound-state parameters, the soft-gluon effects lead to a significant difference in the lifetimes of the D^0 and D^+ mesons.

Recent experiments [1] have reported an appreciable difference in the lifetimes of the D^0 and D^+ mesons, with $\tau(D^+)/\tau(D^0) = 3 \sim 10$. A simple picture of charmed meson decays, based on the charm-quark decay process $c \rightarrow s\bar{u}$ or $s\bar{v}$ (fig. 1(a)), predicts equal lifetimes for D^0 , D^+ and F^+ mesons. The observed lifetime difference suggests significant enhancements of nonleptonic D^0 decays by some dynamical mechanisms [2].

The W-exchange process ("quark-annihilation" process), as depicted in fig. 1(b), contributes solely to D^0 decays. A helicity factor $(m_s/M_D)^2$ and the small probability for quark-pair annihilation in the D meson combine to make its contribution negligibly small. A number of authors [3-5], however, have pointed out that the presence of gluons may be crucial to the removal of helicity suppression which otherwise is inherent in quark-annihilation processes. Single-hard-gluon emission from the D^0 meson [3-5], as depicted in fig. 2(a), serves to remove helicity suppression of the accompanying weak decay and enhances the D^0 decay rate; however, such short-distance QCD effects alone seem to be too small to account for the D^0 - D^+ lifetime difference. Soft gluons inside (or surrounding) the D meson are equally likely sources of enhancement for nonleptonic D^0 decays. Because of the nonperturbative nature of soft-gluon interactions, however, estimates of their effects have so far been purely phenomenological [4].

The purpose of this paper is to present a dynamical calculation of the soft-gluon effects on D^0 -decay enhancements. We study the

soft-gluon effects nonperturbatively by use of a QCD multipole expansion [6-8] and relate them to the vacuum expectation value

$$\mathcal{V} = \langle 0 | (\alpha_s/\pi) F_{\mu\nu}[A]^2 | 0 \rangle \sim 0.012 \text{ GeV}^4, \quad (1)$$

whose magnitude is phenomenologically known from the charmonium sum rules of Shifman, Vainshtein and Zakharov [9]. This matrix element provides a measure of the nonperturbative soft-gluon fluctuations residing in QCD color-confinement mechanisms.

Figure 2(b) represents the process we consider. A hard gluon in fig. 2(a) is replaced by a collection of soft gluons here. (What is meant by a collection of soft gluons will be made clearer below.) We treat the D^0 meson as a nonrelativistic bound state^{F1} of c and \bar{u} constituent quarks of mass $m_c \approx 1.65 \text{ GeV}$ and $m_u \approx 0.34 \text{ GeV}$. Soft-gluon emission from the final quarks is not taken into account since it is not directly related to the removal of helicity suppression factors. Both the motion and the soft-gluon interactions of the c quark are ignored in what follows; they are suppressed by a ratio m_u/m_c in the decay amplitude as compared with those of the \bar{u} quark.

Let us expand the soft-gluon field around the c quark into multipoles. The multipole terms are cast into a manifestly gauge-invariant form by a suitable transformation [7,8]. The interaction

of the u quark with the gluon field is described by the Hamiltonian

$$\mathcal{H}^{\text{QCD}} = g \bar{u}(x) \left[\gamma^0 \vec{x} \cdot \vec{E}^a(\vec{0}) + \frac{1}{2m_u} (\vec{\sigma} + \vec{L}) \cdot \vec{H}^a(\vec{0}) \right] \left(\frac{1}{2} \lambda^a \right) u(x) + \dots, \quad (2)$$

where $u(x)$ is the u quark field at position \vec{x} , $E^{ka}(\vec{0}) = (F^{k0}[A(\vec{0})])^a$ and $H^{ka}(\vec{0}) = -\frac{1}{2} \epsilon^{klm} F_{lm}^a [A(\vec{0})]$ ($F_{\mu\nu}[A] = \partial_\mu A_\nu - \partial_\nu A_\mu + g A_\mu \times A_\nu$) are the soft-gluon fields defined at the c quark position ($\vec{x} = \vec{0}$), and $\vec{L} = \vec{x} \times \vec{p}$ ($p^k = -i\partial/\partial x^k$) is the angular momentum of the u -quark motion. Only the color-E1 and the color-M1 interactions are shown. The color-Coulomb interaction does not act on a color-singlet $c\bar{u}$ system. Higher multipole interactions are not included. Note that the \vec{L} term in (2) does not contribute to an S-wave state.

The initial D^0 meson is a color-singlet (1), $^1S_0(c\bar{u})$ bound state. The color-E1 interaction turns the D^0 meson into a color-octet (8), P-wave $c\bar{u}$ state while the color-M1 interaction changes both the color and spin of the $c\bar{u}$ system; namely,

$$(1, ^1S_0) \begin{cases} \nearrow (8, ^1P_1) + \mathcal{G}(\text{E1}), \\ \searrow (8, ^3S_1) + \mathcal{G}(\text{M1}). \end{cases} \quad (3)$$

Here \mathcal{G} stands collectively for the color-octet states that are reached by either a color-E1 or a color-M1 soft-gluon interaction; we call \mathcal{G} the "soft gluons".

The virtual color-octet $c\bar{u}$ systems have $J^P = 1^+$ or $J^P = 1^-$ so that their subsequent weak decays are free of helicity suppression. The $\Delta S = \Delta C = -1$ nonleptonic weak Hamiltonian is given by (we set the Cabbibo angle $\theta_C = 0$)

$$\mathcal{H}^W = \frac{4G}{\sqrt{2}} \left[\left(\frac{1}{N} f_1 + f_2 \right) (\bar{s}d)_L (\bar{u}c)_L + \frac{1}{2} f_1 (\bar{s}\lambda^a d)_L (\bar{u}\lambda^a c)_L \right] + \text{h.c.}, \quad (4)$$

where Lorentz as well as color indices have been suppressed in usual fashion; $(\bar{u}c)_L \equiv \bar{u}\gamma^{\mu 1/2}(1-\gamma_5)c$, etc. This is a Fierz-reordered form (suitable for D^0 decays) of the conventional weak Hamiltonian. In the above, $N = 3$ for color $SU(3)$; we use $SU(N)$ notation to keep track of color factors. Hard-gluon exchange corrections to the weak Hamiltonian [10] change the coefficients f_1 and f_2 from their zeroth-order values ($f_1 = 1$ and $f_2 = 0$) to $f_1 \sim 1.42$ and $f_2 \sim -0.74$.

Let us denote the D^0 -meson wave function in momentum space by $\psi(\vec{p})$ normalized so that $(2\pi)^{-3} \int d^3p |\psi(\vec{p})|^2 = 1$, where \vec{p} is the momentum of the \bar{u} quark in the D^0 meson. Note that the S-wave function $\psi(\vec{p})$ is a function of $|\vec{p}|$. As usual, quark spinors are approximated by free quark spinors. The virtual $c\bar{u}$ states can be decomposed into spin-zero and spin-one components by use of appropriate spin-projection operators. The old-fashioned perturbation theory leads to the following amplitude for the process in fig. 2(b).

$$\mathcal{F} = \sqrt{\frac{M_D}{N}} \int \frac{d^3p}{(2\pi)^3} \frac{1}{M_D - \epsilon} L_\mu^a(\vec{q}_1, \vec{q}_2) J^{\mu a}(\vec{p}, \vec{\omega}) \psi(\vec{p}), \quad (5)$$

where ϵ is the energy of the intermediate $(c\bar{u})_g + \mathcal{G}$ state, $\vec{\omega}$ is the momentum of the "soft-gluon" state $|\mathcal{G}\rangle$ and $L_\mu^a(\vec{q}_1, \vec{q}_2)$ refers to the weak current of the light quarks

$$L_\mu^a(\vec{q}_1, \vec{q}_2) = \sqrt{2}G(\frac{1}{2}f_1) \bar{u}_s(q_1) \gamma_\mu \lambda^a (1-\gamma_5) v_d(q_2). \quad (6)$$

For the color-E1 interaction $J^{\mu a}(\vec{p}, \vec{\omega})$ is given by

$$J^{\mu a}(E1) = g \langle \mathcal{G} | E^{ka}(\vec{0}) | 0 \rangle \begin{bmatrix} x^k \\ p^\ell x^k / (2m_u) \end{bmatrix} \begin{matrix} (\mu=0) \\ (\mu=\ell) \end{matrix} \quad (7)$$

while for the color-M1 interaction

$$J^{\mu a}(M1) = -\frac{1}{2} \frac{g}{m_u} \langle \mathcal{G} | H^{ka}(\vec{0}) | 0 \rangle \begin{bmatrix} p^k / (2m_u) \\ \delta^{k\ell} + i\epsilon^{k\ell j} p^j / (2m_u) \end{bmatrix} \begin{matrix} (\mu=0) \\ (\mu=\ell) \end{matrix}, \quad (8)$$

where terms quadratic in \vec{p} or higher as well as those F^2 that vanish as $\vec{\omega} \rightarrow 0$ have been omitted.

Soft gluons are strongly interacting and need to be treated nonperturbatively. The decay rate, e.g. for the process with the color-M1 interaction, involves a sum over the soft-gluon states $|\mathcal{G}\rangle$ of the form

$$\sum_{\mathcal{G}} \langle 0 | H^{ib}(\vec{0}) | \mathcal{G} \rangle \langle \mathcal{G} | H^{ja}(\vec{0}) | 0 \rangle \frac{1}{(M_D - \epsilon)^2} (\dots) \quad (9)$$

The energy denominator $M_D - \epsilon$ represents the energy difference between the initial D^0 -meson state and the virtual $(c\bar{u})_8 + \mathcal{G}$ state. It will be reasonable to suppose that, owing to color confinement, the virtual color-octet $c\bar{u}$ system has higher energy than the initial D^0 -meson state and that this energy difference does not vanish even for very soft gluons ($\vec{\omega} \rightarrow 0$). [For example, this is the case for mesons bound by a one-gluon-exchange potential, which is repulsive between a color-octet quark-antiquark pair.] This energy difference will be of the order of $100 \sim 200$ MeV, a typical scale related to confinement; this point will be discussed later. With these in mind, we approximate the soft-gluon sum in (9) as follows

$$(i) \sum_{\mathcal{G}} |\mathcal{G}\rangle (\epsilon - M_D)^{-1} \langle \mathcal{G}| \sim (\Delta\epsilon)^{-1} 1. \quad (10)$$

Namely, the energy denominator $\epsilon - M_D$ is replaced by a constant value $\Delta\epsilon$ typical for the soft-gluon reaction we consider. It is understood that eq. (10) is inserted between soft-gluon states (i.e. excluding hard-gluon states); accordingly we set $\vec{\omega} \sim 0$ in (...) of eq. (9).

(ii) The soft-gluon world would exhibit approximate Lorentz invariance relative to the wavelengths of color fluctuations in it. By making use of this invariance, eq. (9), which is now rewritten as

$$\langle 0 | H^{ib}(\vec{0}) H^{ja}(\vec{0}) | 0 \rangle (\Delta\epsilon)^{-2} (\dots), \quad (11)$$

is related to $\langle 0 | F_{\mu\nu}^2 | 0 \rangle$ in eq. (1).

An argument in favor of these approximations^{F3} is made as follows: The phenomenological value for $\mathcal{V} = \langle 0 | (\alpha_s/\pi) F_{\mu\nu}^2 | 0 \rangle$ in eq. (1) represents nonperturbative (long-distance) QCD effects^{F4}; namely, this matrix element is predominantly saturated by the long-distance color fluctuations of confinement physics. Each of the above approximations relies upon and could be justified by this dominance of long-distance dynamics in the phenomenological value of \mathcal{V} .

The value of the unknown quantity $\Delta\epsilon$ may be estimated in the following way. The annihilation of the virtual color-octet $c\bar{u}$ system by the weak current occurs in a small domain of the size $1/m_c$, the Compton wavelength of the c quark. Correspondingly, $\Delta\epsilon$ will be relatively sensitive to the short-distance structure (e.g. the spin-dependent part) of the $c\bar{u}$ binding potential. Although the virtual $c\bar{u}$ system is in color-octet, the $(c\bar{u})_8 + \mathcal{G}$ state as a whole is a color-singlet and presumably is still in a bound state [of spatial spread of the order of $1/\Delta\epsilon$]. Namely, the virtual state may be picturized as a spin-one D -meson system with a (color-octet) gluon cloud; and the total energy of this meson system may not be drastically affected by the spatially small color concentration of the $c\bar{u}$ system. If this picture is adequate, the $^3S_1 - ^1S_0$ fine structure of the D -meson system provides a reasonable guess for $\Delta\epsilon$ (for the color-M1 process):

$$\Delta\epsilon \sim M(D^*) - M(D) \approx 140 \text{ MeV}. \quad (12)$$

A naive estimate of the "binding energy" of the D^0 meson gives another measure of $\Delta\epsilon$:

$$\Delta\epsilon \sim m_c + m_u - M_D \approx 120 \text{ MeV} . \quad (13)$$

With the abovementioned approximations, the amplitude (9) simplifies. In particular, since $x^j = i\partial/\partial p^j$ in \vec{p} space,

$$\int d^3p p^l x^j \psi(\vec{p}) = -i\delta^{lj} \int d^3p p^j \psi(\vec{p}) \quad (14)$$

by an integration by parts.^{F5} In the nonrelativistic approximation, the wave function at the origin $\vec{x} = 0$, $\phi(\vec{0}) = (2\pi)^{-3} \int d^3p \psi(\vec{p})$, is related to the D-meson decay constant f_D so that

$$f_D = 2(N/M_D)^{1/2} |\phi(\vec{0})| .$$

Apart from an unobservable overall phase factor, the amplitude \mathcal{F} is now rewritten as

$$\mathcal{F} \approx (4m_u \Delta\epsilon N)^{-1} g f_D M_D L_\ell^a(q_1, q_2) \langle \mathcal{G} | H^{\ell a}(\vec{0}) + iE^{\ell a}(\vec{0}) | 0 \rangle , \quad (15)$$

where we have taken the same $\Delta\epsilon$ for the color-M1 and color-E1 transitions (although $\Delta\epsilon$ may well be different in the two cases).

In the calculation of the decay rate, we use the relation [9]

$$\langle 0 | H_k^a H_\ell^a | 0 \rangle = -\langle 0 | E_k^a E_\ell^a | 0 \rangle = \frac{1}{12} \delta^{k\ell} \langle 0 | F_{\mu\nu}^2 | 0 \rangle , \quad (16)$$

in accordance with the approximations explained earlier. Note that E_k^a is antihermitian in the nonperturbative QCD vacuum. The contributions of the color-E1 and the color-M1 processes to the decay rate turn out to be of equal magnitude. The decay rate $\Gamma^{sg} = \Gamma^{sg}(E1 + M1)$ for the soft-gluon process is given by

$$\Gamma^{sg} = \frac{G^2 f_D^2 M_D^3}{8\pi} \frac{8}{3} \left(\frac{f_1}{N} \right)^2 \left(\frac{\pi}{4m_u \Delta\epsilon} \right)^2 \mathcal{V} , \quad (17)$$

where $\mathcal{V} = \langle 0 | \frac{\alpha_s}{\pi} F_{\mu\nu}^2 | 0 \rangle$ (eq. (1)), and the light quark masses in the final state have been neglected. On the other hand, the c-quark decay process in fig. 1(a) leads to equal D^0 and D^+ decay rates

$$\Gamma^c = (2 + Nh) G^2 m_c^5 / (192\pi^3) , \quad (18)$$

where the factor 2 is for leptons and the factor $h \equiv f_1^2 + f_2^2 + (2/N)f_1 f_2 \sim 1.8$ involves the hard-gluon exchange effect. As before, the final quark masses have been neglected. The relative importance of the soft-gluon process to the quark-decay process is seen from the ratio

$$R = \Gamma^{sg}(E1 + M1) / \Gamma^c = \frac{f_1^2}{(2+Nh)} \left(\frac{2\pi^2}{N} \right)^2 \left(\frac{M_D}{m_c} \right)^3 \left(\frac{f_D}{m_c} \right)^2 \frac{\mathcal{V}}{(m_u \Delta\epsilon)^2} . \quad (19)$$

Substituting the numerical values quoted earlier for m_u , m_c and \mathcal{V} yields

$$R \approx 0.7 \times (f_D / \Delta\epsilon)^2 . \quad (20)$$

A reasonable estimate for f_D , based on an empirical scaling law for the observed lepton-pair decay rates of vector mesons, gives [5]

$$f_D / \sqrt{2} \sim 150 \text{ MeV} . \quad (21)$$

With this value for f_D and $\Delta\epsilon \sim 140 \text{ MeV}$ (120 MeV), the present soft-gluon process leads to the lifetime difference

$$\tau(D^+)/\tau(D^0) = 1 + R \sim 2.5 \text{ (3.0)} . \quad (22)$$

This result indicates that the soft-gluon effect by itself could account for a significant portion of the lifetime difference between the D^+ and D^0 mesons.

The decay rate Γ^{hg} for the single-hard-gluon emission process in fig. 2(a), evaluated perturbatively [3,5], is smaller than the soft-gluon effect Γ^{sg} :

$$\begin{aligned} \Gamma^{\text{hg}}/\Gamma^{\text{sg}} &= \frac{2}{3} (\alpha_S/\pi^3) (M_D \Delta\epsilon)^2 / \mathcal{V} \\ &\approx 0.02 \alpha_S \times (\Delta\epsilon/60 \text{ MeV})^2 , \end{aligned} \quad (23)$$

where the α_S is the coupling constant characterizing the hard-gluon emission process. In D^0 decays, therefore, the hard-gluon emission effect is one order of magnitude smaller than the soft-gluon effect.

Quantitatively the present analysis is a crude estimate because of uncertainties involved in $\Delta\epsilon$ and f_D and of the approximation scheme employed. It is conceivable that higher-multipole terms, especially those of soft gluons coupled to gluon exchanges between the constituent quarks in the D meson [8], are non negligible for the D -meson system (although they are less important for heavy quarkonium systems). Qualitatively, however, the abovementioned conclusions of the present analysis will, we expect, survive a more detailed analysis.

Soft-gluon effects are expected to be important in other heavy-meson decays as well.

(1) Charmed F -meson decays. The present analysis developed for the D^0 meson is carried over to the F^+ meson by interchanging u quarks \leftrightarrow s quarks and $f_1 \leftrightarrow f_2$. It is owing to the hard-gluon exchange corrections to the weak Hamiltonian that color-octet $c\bar{s}$ systems are annihilated into u and \bar{d} quarks via the process in Fig. 2(b); with either a single color-E1 or color-M1 soft-gluon interaction, their annihilation into $\nu\bar{\ell}$ is still forbidden by color mismatch. The ratio of the soft-gluon corrections Γ^{sg} for the F^+ and D^0 mesons is given by

$$\frac{\Gamma^{\text{sg}}(F^+)}{\Gamma^{\text{sg}}(D^0)} = \left(\frac{f_2}{f_1}\right)^2 \left(\frac{f_F}{f_D}\right)^2 \left(\frac{m_u \Delta\epsilon_D}{m_s \Delta\epsilon_F}\right)^2 \left(\frac{M_F}{M_D}\right)^3 . \quad (24)$$

With $M_F \approx 2.03 \text{ GeV}$, $m_s \approx 0.54 \text{ GeV}$ (constituent quark mass), $f_F/f_D \sim 1.4$ (an estimate based on the scaling law) and

$\Delta\epsilon_F \sim M(F^*) - M(F) \sim 110 \text{ MeV}$, one obtains the estimate

$$\Gamma^{sg}(F^+)/\Gamma^{sg}(D^0) \sim 0.4 . \quad (25)$$

This indicates that the F-meson lifetime is somewhere between those of the D^0 and D^+ mesons:

$$\tau(D^0) < \tau(F^+) < \tau(D^+) \quad (26)$$

(2) For heavy mesons containing b or t quarks, the difference in the lifetimes of the neutral and charged members will not be as prominent as in the case of D decays. The enhancement of annihilation processes by the soft-gluon effect diminishes rapidly with increasing heavy-quark mass: The ratio R in eq. (19) decreases like $1/m_c^3$ as $m_c \rightarrow \infty$ (since $f_D \propto m_c^{-1/2}$). Correspondingly, in B -meson decays this ratio will presumably be more than one order-of-magnitude smaller than in D decays. As seen from eq. (23), the soft-gluon effect and the hard-gluon emission effect are comparable in B -meson decays. For sufficiently heavy mesons, nonleptonic enhancements will be dominated by short-distance mechanisms, hard-gluon emission from the initial and final quarks.

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FIGURE CAPTIONS

Figure 1. D^0 decays. (a) charm-quark decay process. The \bar{u} quark acts as a spectator. (b) quark-annihilation process. shaded blobs represent W-boson exchanges with hard-gluon exchange corrections.

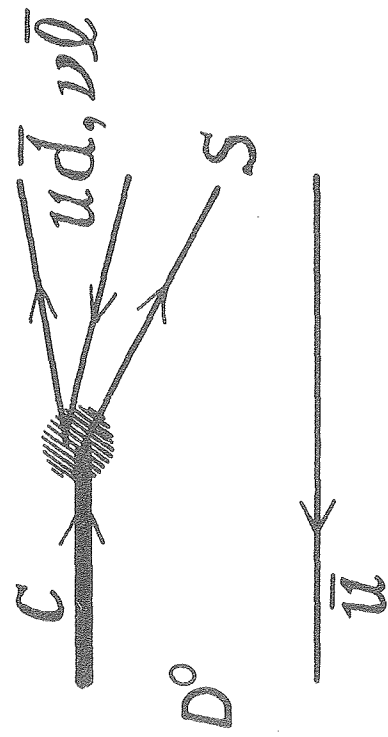
Figure 2. Quark-annihilation process with emission of gluons from the D^0 meson. (a) hard-gluon emission. (b) soft-gluon emission. The dashed line refers to a virtual state.

FOOTNOTES

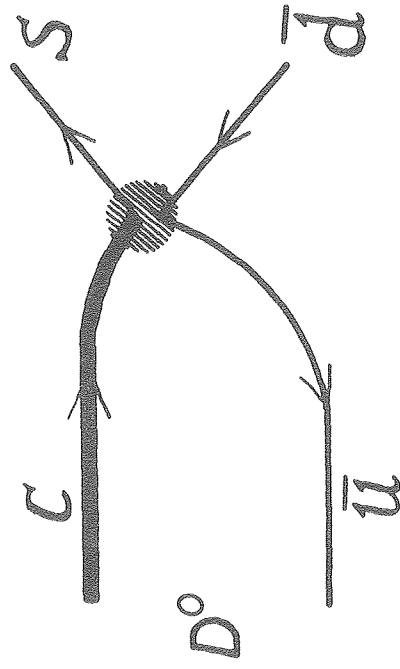
- F1 The nonrelativistic description of the D-meson system, though not as reliable as that of $c\bar{c}$ charmonium systems, has certain phenomenological success; see, e.g., ref.[10]. The nonrelativistic picture improves somewhat for $c\bar{s}$ systems.
- F2 Terms that depend on $\vec{\omega}$ correspond to higher-multipole terms (other than color-E1 and color-M1 terms).
- F3 Similar approximations have previously been used for heavy-quarkonium systems by Voloshin [6].
- F4 In standard perturbation theory, the contribution of very soft gluons to $\langle 0 | F_{\mu\nu}^2 | 0 \rangle$ is vanishingly small at least to lowest order because of derivative coupling. Hard gluons mainly contribute to the renormalization of the operator $\alpha_S F_{\mu\nu}^2$ (which is a renormalization-group invariant in the absence of quarks). The nonvanishing value of $\langle 0 | \alpha_S F_{\mu\nu}^2 | 0 \rangle$, being a long-wavelength phenomenon, is considered to be a consequence of strong soft-gluon interactions.
- F5 The surface term in eq. (14) vanishes for a large class of quark-binding potentials, including the Coulomb and the harmonic oscillator potentials.

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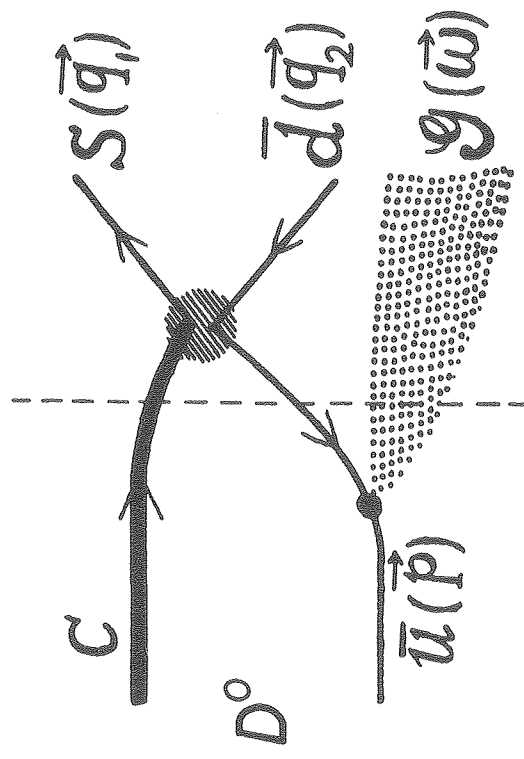


(a)



(b)

Figure 1



(a)

(b)

Figure 2

